



## UNITED STATES PATENT AND TRADEMARK OFFICE

COMMISSIONER FOR PATENTS  
UNITED STATES PATENT AND TRADEMARK OFFICE  
WASHINGTON, D.C. 20231  
www.uspto.gov

APPLICATION NUMBER	FILING/RECEIPT DATE	FIRST NAMED APPLICANT	ATTORNEY DOCKET NUMBER
10/053,501	11/02/2001	N. Garrison Ruggles	11377-019001

REGINALD J. SUYAT  
Fish & Richardson P.C.  
2200 Sand Hill Road, Suite 100  
Menlo Park, CA 94025



CONFIRMATION NO. 3374

## FORMALITIES LETTER



\*OC000000007527858\*

Date Mailed: 02/25/2002

## NOTICE TO FILE MISSING PARTS OF NONPROVISIONAL APPLICATION

04/18/2002 AQSMAN1 00000030 10053501

FILED UNDER 37 CFR 1.53(b)

01 FC:201	370.00 OP
02 FC:202	42.00 OP
03 FC:203	261.00 OP
04 FC:204	140.00 OP
05 FC:205	65.00 OP

*Filing Date Granted*

An application number and filing date have been accorded to this application. The item(s) indicated below, however, are missing. Applicant is given **TWO MONTHS** from the date of this Notice within which to file all required items and pay any fees required below to avoid abandonment. Extensions of time may be obtained by filing a petition accompanied by the extension fee under the provisions of 37 CFR 1.136(a).

- The statutory basic filing fee is missing.  
*Applicant must submit \$ 740 to complete the basic filing fee for a non-small entity. If appropriate, applicant may make a written assertion of entitlement to small entity status and pay the small entity filing fee (37 CFR 1.27).*
- Total additional claim fee(s) for this application is \$904.
  - \$540 for 30 total claims over 20.
  - \$84 for 1 independent claims over 3 .
  - \$280 for multiple dependent claim surcharge.
- The oath or declaration is unsigned.
- To avoid abandonment, a late filing fee or oath or declaration surcharge as set forth in 37 CFR 1.16(l) of \$130 for a non-small entity, must be submitted with the missing items identified in this letter.
- The balance due by applicant is \$ 1774.

*A copy of this notice **MUST** be returned with the reply.*

*SR*

Customer Service Center

Initial Patent Examination Division (703) 308-1202

PART 2 - COPY TO BE RETURNED WITH RESPONSE



MPI #3

## IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

Applicant : N. Garison Ruggles  
Serial No. : 10/053,501  
Filed : November 2, 2001  
Title : PLAQUE REDUCING COMPOSITION

Art Unit : 1614  
Examiner : Unknown

**BOX MISSING PARTS**

Commissioner for Patents  
Washington, D.C. 20231

RESPONSE TO NOTICE TO FILE MISSING PARTS OF APPLICATION

In response to the Notice to File Missing Parts of Application under 37 U.S.C. §1.53(b) – Filing Date Granted mailed February 25, 2002, applicant as a small entity submits herewith the following:

1. Power of Attorney by Assignee and Election of Assignee to Conduct Prosecution to Exclusion of Inventors;
2. Certificate Under 37 CFR §3.73(b);
3. Assignment and Recordation Form Cover Sheet;
4. Declaration by Inventor;
5. Check for \$918.00 which includes payment for:

Basic filing fee	\$370
Total claims in excess of 20 times \$9 (29 X \$9)	\$261
Independent claims in excess of 3 times \$42 (1 X \$42)	\$42
Fee for multiple dependent claims	\$140
Fee for Assignment Recordation	\$40
Fee for late payment of filing fee	\$65
<b>Total filing fee:</b>	<b>\$918</b>

## CERTIFICATE OF MAILING BY FIRST CLASS MAIL

I hereby certify under 37 CFR §1.8(a) that this correspondence is being deposited with the United States Postal Service as first class mail with sufficient postage on the date indicated below and is addressed to the Commissioner for Patents, Washington, D.C. 20231.

4-5-2002  
Date of Deposit

Patricia Wiggins  
Patricia Wiggins

Applicant : N. Garrison Ruggles  
Serial No. : 10/053,501  
Filed : November 2, 2001  
Page : 2

Attorney's Docket No.: 11377-019001

6. Copy of Notice to File Missing Parts of Nonprovisional Application; and
7. Postcard.

It is understood that this perfects the application and no additional papers or filing fees are required. Please apply any other charges or credits to Deposit Account No. 06-1050.

Respectfully submitted,

Date:

April 5, 2002



Reginald J. Suyat  
Reg. No. 28,172

Fish & Richardson P.C.  
500 Arguello Street, Suite 500  
Redwood City, California 94063  
Telephone: (650) 839-5070  
Facsimile: (650) 839-5071